Application No.: 09/895,478 Attorney Docket No.: 020964-000210US FORM PTO-1449 (Modified) Applicant: James Harnden etabelve LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE Group: 2811 Filing Date: June 29, 2001 STATEMENT (Use several sheets if necessary) U.S. PATENT DOCUMENTS. P 13 2021 Page 1 Reference Designation TC 2. OU MClass ROOM Filing Date Sub-class Name Document No. Date Examiner Initial (If Appropriate) AAAB AC AD ΑE AF AG AH ΑI ΑJ ΑK AL FOREIGN PATENT DOCUMENTS Class Sub-class Translation Date Country Document No. (Yes/No) AM AN AO ΑP AQ AR OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.) JEDEC Solid State Product Outlines, "Small Outline J-Lead" (SOJ) 300 Body Family (MS-013 Body), Issue A, June, 1988, MO-088, AA-AF. JEDEC Solid State Product Outline, "Low Profile Small Outline J-Lead Package (LSOJ), PRSO-J/LSOJ, Issue B, June, 1999, MO-199, pp. 1-5. JEDEC Solid State Product Outlines, "Plastic Small Outline (SOJ) Package Family with .330 Inch Body Width", Issue B, May, 1992, MO-121, pp. 1-2. ٨V ΑW DATE CONSIDERED **EXAMINER** 

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

		٠
Please type a plus sign (+) inside this box	+	

PTO/SB/08A (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE or respond to a collection of information unless it contains a valid OMB control number.

Under the Paperwork Reduction Act of 1995, no persons are requ

Substitute for form 1449A/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Complete if Kn wn					
Application Number	09/895,478				
Filing Date	June 29, 2001				
First Named Inventor	James Hamden				
Group Art Unit	2813				
Examiner Name	Timothy J. Sutton				
Attorney Docket Number	020964-000210US				

Example   Cite   No.   Number   Kind Code <sup>2</sup>   Name of Patentee or Applicant of Cited Document   MM-DD-YYYY   Passages or Figures A			
Cite   Number   Kind Code <sup>2</sup>   Name of Patentee or Applicant of Cited Document   Cited Document   MM-DD-YYYY   Passages or Figures A			
AA 6,249,041 Kasem et al. 06-19-2001  TIS AB 6,242,800 Munos et al. 06-05-2001  TIS AC 6,242,797 Ichikawa, et al. 06-05-2001  TIS AD 6,211,462 Carter et al. 04-03-2001  TIS AE 6,072,243 Nakanishi 06-06-2000	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear		
NS   AB   6,242,800   Munos et al.   06-05-2001     NS   AC   6,242,797   Ichikawa, et al.   06-05-2001     NS   AD   6,211,462   Carter et al.   04-03-2001     NS   AE   6,072,243   Nakanishi   06-06-2000     NS   AE   0,072,243   Nakanishi   0,072,243   Nakanishi			
TJS AC 6,242,797 Ichikawa, et al. 08-05-2001 TJS AD 6,211,462 Carter et al. 04-03-2001 TJS AE 6,072,243 Nakanishi 08-06-2000			
AD 6,211,462 Carter et al. 04-03-2001    15	0.1		
75 AE 6,072,243 Nakanishi 06-06-2000	N		
571.63	8	J.	
7)5 AF 6,040,626 Cheah et al. 03-21-2000	00	2	
TJS AG 6,002,167 Hatano et al 12-14-1999	-7	<del>- w</del>	
TJS AH D402,638 Famworth, et al. 12-15-1998	A	<u> </u>	
Al 5,689,135 Ball 11-18-1997		2	
T)S AJ 5,625,226 Kinzer 04-29-1997	70	02	
7 TK AK 5,554,886 Song 09-10-1998	00		
AL 5,521,429 Aono et al. 05-28-1996			
TUS AM 5,481,539 Kuriyama 10-24-1995			
DS AN 5,446,623 Kanetake 08-29-1995			
DS AO 5,327,009 Igeta 07-05-1994			
TS AP 5,224,021 Takada et al. 08-29-1993			
AQ 4,984,062 Uemura et al. 01-08-1991			
TUS AQ 4,984,062 Uemura et al. 01-08-1991 TUS AR 4,298,883 Komatsu 11-03-1981			

-				FOREIGI	N PATENT DOCU	MENTS		
Examiner Cite Initials* No.1	Foreign Patent Document		Name of Patentee	Date of Publication of	Pages, Columns, Lines, Where Relevant			
	Office	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)	or Applicant of Cited Document	Cited Document	Passages or Relevant Figures Appear	T <sup>©</sup>	
			$\overline{}$					
							•	

	Munte	M RC		2/15/04	
Examiner Signature	Timo Cong	Sutton	Date Considered	7/17/02	

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

PA 3224794 v1

PTO/SB/08B (10-01) Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number

Substitute for form 1449B/PTO Complete if Known **Application Number** 09/895,478 INFORMATION DISCLOSURE **Filing Date** June 29, 2001 STATEMENT BY APPLICANT **First Named Inventor** Harnden, James **Art Unit** 2811 (use as many sheets as necessary) **Examiner Name** Timothy J. Sutton 020964-000210US of Attorney Docket Number

**U.S. PATENT DOCUMENTS** Document Number Name of Patentee or Pages, Columns, Lines, Where Applicant of Cited Document Relevant Passages or Relevant Figures Appear Number Kind Code<sup>2</sup> (if known) MM-DD-YYYY AΑ US-4,118,859 10/10/1978 Busler AB US-4,234,666 11/18/1980 Gursky 01/06/1987 AC US-4,633,582 Ching et al. AD US-4,809,054 02/28/1989 Waldner AE US-4,818,960 04/04/1989 Satoh et al. AF US-4,852,250 08/01/1989 Andrews US-4,865,193 09/12/1989 AG Shimamoto et al. AH US-5,115,299 05/19/1992 Wright ΑI US-5,900,582 05/04/1999 Tomita et al. 01/25/2000 US-6,016,918 Ziberna

				FOREIGN PA	TENT DOCUME	NTS			
	Cite No.1	Foreign Patent Document  Number <sup>4</sup> Kind Code* (if A			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear		ī
		OTHER	PRIOR AF	RT NON PATE	NT LITERATUI	RE DOCUMENTS	•		
Examiner Initials * Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volur number(s), publisher, city and/or country where published.						T 2			
					-				

PA 3228021 v1

Examiner Signature Manathur Stution Date Considered 717/02

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw like through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

PA 3228021 v1

<sup>&</sup>lt;sup>1</sup> Unique citation designation number. <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.